

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	Not Yet Assigned	
			Filing Date	Concurrently Herewith	
			First Named Inventor	Folkert Horst	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	04518/0200629-US0

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
FPH	AA**	US-5,511,749-B1	04-30-1996	Folkert Horst	
	AB**	US-5,685,507-B1	11-11-1997	Folkert Horst	
	AC**	US-6,449,536-B1	09-10-2002	Andre Brousseau	
	AD**	US-6,456,674-B1	09-24-2002	Folkert Horst	
	AE**	US-6,466,847-B1	10-15-2002	Folkert Horst	
	AF**	US-6,470,245-B1	10-22-2002	Richard Proulx	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\*CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120 or Pursuant to the Notice Issued by the United States Patent and Trademark Office dated July 11, 2003 waiving the requirements of 37 C.F.R. § 1.98(a)(2)(i), a copy of the United States Patent on PTO/SB08 is not submitted. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
FPH	CA	Spectrum and Protocol Options for Remote Control of Locomotives, Version 2.2, November 25, 2002.	
	CB	RCL Standards Draft White Paper, July 22, 2002.	
	CC	Fred Horst, Association of American Railroads Specification for Remote Control Locomotive Communications Systems (RCL) Operating at 220 MHz Draft 2.1, November 11, 2003.	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Franky Jules	Date Considered	5/16/05
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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete If Known</b>		
			Application Number	10/727,935	
			Filing Date	December 2, 2003	
			First Named Inventor	Folkert Horst	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	04518/0200629-USO

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FD	AA**	US-3,293,549-B1	12-20-1966	Patterson	
	AB**	US-3,582,783-B1	06-01-1971	Hendrickson	
	AC**	US-4,245,347-B1	01-13-1981	Hutton et al.	
	AD**	US-4,931,790-B1	06-05-1990	Kobayashi et al.	
	AE**	US-5,400,329-B1	03-21-1995	Nobuyuki Tokura	
	AF**	US-3,639,755-B1	02-01-1972	Wrege	
	AG**	US-4,553,723-B1	11-19-1985	Nichols et al.	
	AH**	US-4,977,577-B1	12-11-1990	Arthur et al.	
	AI**	US-5,745,037-B1	04-28-1998	Warren E. Guthrie et al.	
	AJ**	US-5,412,730-B1	05-02-1995	Jones	
	AK**	US-6,658,331-B1	12-02-2003	Folkert Horst	
	AL**	US-6,691,005-B1	02-10-2004	Proulx	
AM**	US-6,693,584-B1	02-17-2004	Horst		
AN**	US-6,697,712-B1	02-24-2004	Horst		

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FF	CA	Thomas L. Vandervort, "PCM Used for Remote Controls", Railway Systems Controls, August 1971.	

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